

## **Account Transfer Form**

## WWW.WEDBUSH.COM ■ MEMBER NYSE • FINRA • SIPC

Type of Transfer									
1. (Check one)	2. (Check one)	<ol><li>(Check one only</li></ol>	/) Coi	mplete Sections	(WS Completes)	Wedbush			
☐ Total Transfer	☐ Cash/Margin	☐ Brokerage Tra	ansfer A, E	3, C, F	ACAT Non-ACAT Full	Securities Clearing			
☐ Partial Transfer	☐ IRA ☐ Roth IRA	☐ Bank/Insurance	ce Transfer A, I	3, C, D, F	☐ Partial ☐ Mutual Fund	Number			
NON-ACATS (List Assets)	☐ Qualified Plar	☐ Mutual Fund T	Γransfer A, Ε	3, E, F	☐ Broker Change ☐ In-House	0103			
A. Information Ab	out Your Accoun	t Maintained by We	dbush Securitie	s (RE: Receivin	g Firm)				
A. Information About Your Account Maintained by Wedbush Securities (RE: Receiving Firm)  Account Title									
Account Number				SSN/TIN					
R Information Ab	out the Account	Vou Are Transferri	na (Re: Deliverina	Firm)					
B. Information About the Account You Are Transferring (Re: Delivering Firm)  Name of Firm  Account Number(use separate form for each account number)  Clearing Number									
Firm Address			Account Title (Nar	ccount Title (Name and plan as it appears on statement)					
C. Brokerage Firm	Transfor								
			<i>(</i> , )						
		count (Skip to Section		w)	heck here if assets come	from a Qualified Plan			
	orny trie tollowing ass	sets from my account (in Description of Asset		<i>w)</i>		nom a Quanneu Pian			
Quantity or Cash	(Attach	additional sheet, if no		ssarv) Action					
	,	,	•	☐ Liquidate	& Transfer Proceeds [	Transfer In-Kind			
						─ ☐ Transfer In-Kind			
				Liquidate	& Transfer Proceeds [	Transfer In-Kind			
		e or Credit Union T	ransfer						
Cash Transfer CD/Annuity Transfer									
All Cash In Accou	ınt 📙	Transfer/Registration		e the penalty I will	incur for early withdrawal				
		Liquidate at MATURI		☐ Liquidate at MATURITY Maturity Date					
☐ Only \$									
		(Please submit 2 – 3 i	weeks before matur		<del>-</del>				
E. Mutual Fund Tr	ansfer (Please use	(Please submit 2 – 3 value a separate original form		ity date)					
E. Mutual Fund Tr Name of Fund	ansfer (Please use			ity date) ind company)	uidate and Transfer Proce	eds			
Name of Fund		a separate original forr	n for each mutual fu	ity date) Ind company) Liq Tra	ansfer/Registration				
		a separate original forr		ity date) und company)  Liq Tra	nsfer/Registration IRA/Qualified Registration				
Name of Fund  Dividend/Capital Gain	ns Option	a separate original forr	n for each mutual fu	ity date) Ind company)  Liq Liq Tra	ansfer/Registration <i>IRA/Qualified Registratio</i> Wedbush Securities				
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## WEDBUSH SECURITIES

Transfer of Account Delivery Instructions

DTC Eligible Securities:  Deliver to DTC # 0103 FBO (Customer Name) Acct # (Wedbush Acct #)  Physical Delivery of Securities By Mail:  Home Office: Wedbush Securities Attn: Cashiering P. O. Box 30014 Los Angeles, CA 90030  Physical Delivery of Securities By Courier:  Wedbush Securities Attn: Cashiering P. O. Box 30014 Los Angeles, CA 90030  New York Office: Wedbush Securities Wedbush Securities DTCC NY Window Central Delivery 570 Washington Blvd Jersey City, NJ 07310-1617  Mutual Fund & Limited Partnerships Re-Registration Instructions:  Wedbush Securities Custodian FBO (Customer Name) Acct # (Wedbush Acct #) P. O. Box 30014 Los Angeles, CA 90030-0014	<b>Receiving Firm Information</b>		
DTC Eligible Securities:  Deliver to DTC # 0103 FBO (Customer Name) Acct # (Wedbush Acct #)  Physical Delivery of Securities By Mail:  Wedbush Securities Attn: Cashiering P. O. Box 30014 Los Angeles, CA 90030  Physical Delivery of Securities By Courier:  Wedbush Securities Attn: Cashiering P. O. Box 30014 Los Angeles, CA 90030  Physical Delivery of Securities By Courier:  Wedbush Securities Attn: Cashiering 1000 Wilshire Blvd, 8th Floor Los Angeles, CA 90017  Mutual Fund & Limited Partnerships Re-Registration Instructions:  FBO (Customer Name) Acct # (Wedbush Acct #) P. O. Box 30014 Los Angeles, CA 90017  I) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323390 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323390 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323390 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323390 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323390 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323391 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 2) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 3) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 4) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 3) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 4) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 4) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 4) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 4) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 4) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 5) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 6) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 6) Tax ID Number 95-4323351 [Wedbush Custodial Retirement Account] 6) Tax ID Number 95-432335	Name and Address:	1000 Wilshire Blvd	[Wedbush Custodial Retirement Account] 2) Tax ID Number 95-2495390
FBO (Customer Name) Acct # (Wedbush Acct #)  Physical Delivery of Securities By Mail:  Wedbush Securities Attn: Cashiering P. D. Box 30014 Los Angeles, CA 90030  Physical Delivery of Securities By Courier:  Wedbush Securities Attn: Cashiering 1000 Wilshire Bivd, 8 <sup>th</sup> Floor Los Angeles, CA 90017  Mutual Fund & Limited Partnerships Re-Registration Instructions:  FBO (Customer Name) Acct # (Wedbush Acct #) P. O. Box 30014 Los Angeles, CA 90030  U. S. Treasury Obligations:  Bank of NYC/Wedbush ABA # 021000018 FBO (Customer Name) Acct # (Wedbush Acct #) P. O. Box 30014 Los Angeles, CA 90030-0014  FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) P. O. Box 30014 Los Angeles, CA 90030-0014  FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbus	Delivery Instructions		
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Securities By Courier:  Wedbush Securities Attn: Cashlering 1000 Wilshire Blvd, 8th Floor Los Angeles, CA 90017  Mutual Fund & Limited Partnerships Re-Registration Instructions:  Re-Registration Instructions:  PBO (Customer Name) Acct # (Wedbush Acct #) P. O. Box 30014 Los Angeles, CA 90030-0014  U. S. Treasury Obligations:  Bank of NYC/Wedbush Acct #) PBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #) PBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #)  FBO (Customer Name) Acct # (Wedbush Acct #) Acct # (Wedbush Acct #)  FBO (Customer Name) Acct # (Wedbush Acct #)  Send Checks:  Wedbush Securities Attn: Cash Management P. O. Box 30014 Los Angeles, CA 90030-0014  Fed Wired Funds:  Bank of New York New York, NY  ABA # 021000018 Acct # (Wedbush Acct #)  ACCT # (Wedbush Acct #)  ABA # 021000018 Acct # (Wedbush Acct #)  ACCT # (Wedbush Acct #)  ABA # 021000018 Acct # (Set40900001) Further Credit To: Wedbush Securities FBO (Customer Name) Acct # (Wedbush Acct #)  ACCT # (Wedbush Acct #)  ACCT # (Wedbush Acct #)  ABA # 021000018 Acct # (Set40900001) Further Credit To: Wedbush Securities FBO (Customer Name) Acct # (Wedbush Acct #)		Wedbush Securities Attn: Cashiering P. O. Box 30014	Wedbush Securities 90 Park Ave 2 <sup>nd</sup> Floor
Partnerships Re-Registration Instructions: Re-Registration Instruc		Wedbush Securities Attn: Cashiering 1000 Wilshire Blvd, 8 <sup>th</sup> Floor	Central Delivery 570 Washington Blvd
ABA # 021000018 FBO (Customer Name) Acct # (Wedbush Acct #)  GNMA (PTC) Instructions:  WEDWMC FBO (Customer Name) Acct # (Wedbush Acct #)  Send Checks:  Wedbush Securities Attn: Cash Management P. O. Box 30014 Los Angeles, CA 90030-0014  Fed Wired Funds:  Bank of New York New York, NY  Acct # 8540900001 Further Credit To: Wedbush Securities FBO (Customer Name) Acct # 8540900001 Further Credit To: Wedbush Securities FBO (Customer Name) Acct # (Wedbush Acct #)	Partnerships	Custodian FBO (Customer Name) Acct # (Wedbush Acct #) P. O. Box 30014	[Wedbush Custodial Retirement Account] 2) Tax ID Number 95-2495390
FBO (Customer Name) Acct # (Wedbush Acct #)  Send Checks:  Wedbush Securities Attn: Cash Management P. O. Box 30014 Los Angeles, CA 90030-0014  Fed Wired Funds:  Bank of New York New York, NY  Acct # 8540900001 Further Credit To: Wedbush Securities FBO (Customer Name) Acct # (Wedbush Acct #)	U. S. Treasury Obligations:	ABA # 021000018 FBO (Customer Name)	
Attn: Cash Management P. O. Box 30014 Los Angeles, CA 90030-0014  Fed Wired Funds:  Bank of New York New York, NY  Acct # (Wedbush Acct #)  Acct # (Wedbush Acct #)  ABA # 021000018  Acct # 8540900001  Further Credit To: Wedbush Securities FBO (Customer Name) Acct # (Wedbush Acct #)	GNMA (PTC) Instructions:	FBO (Customer Name)	
New York, NY  Acct # 8540900001  Further Credit To:  Wedbush Securities  FBO (Customer Name)  Acct # (Wedbush Acct #)	Send Checks:	Attn: Cash Management P. O. Box 30014	
Euroclear: Acct # 99766	Fed Wired Funds:		Acct # 8540900001 Further Credit To: Wedbush Securities FBO (Customer Name)
	Euroclear:	Acct # 99766	

## ALL DELIVERIES MUST INCLUDE CLIENT NAME AND WEDBUSH ACCOUNT NUMBER

Account Transfers Department (213) 688-6760